

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Walker et al.

For: SYSTEM AND METHODS
WHEREIN A THIRD PARTY
SUBSIDY AND A MERCHANT
SUBSIDY FACILITATE A
TRANSACTION

Serial No.: 09/540,034

Filing Date: March 31, 2000

) Customer No.: 22927
) Examiner: Not Yet Assigned
) Group Art Unit: 2761
) Docket No.: 99-078

Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, D.C. 20231

TRANSMITTAL LETTER

Applicants hereby submit the following documents for the above-identified patent application:

1. Request for Corrected Filing Receipt;
2. Copy of Original Declaration and Power of Attorney for Patent Application
3. Red-lined Copy of Official Filing Receipt; and
4. Postcard.

Respectfully submitted,

Kurt M. Maschoff
 Attorney for Applicants
 PTO Reg. No. 38,235
 Walker Digital Corporation
 Five High Ridge Park
 Stamford, CT 06905

September 11, 2000
 Date

Certificate of Mailing

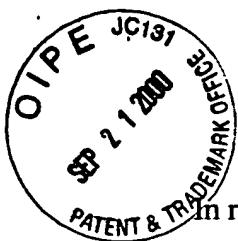
I hereby certify that this correspondence is being sent via First Class Mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on September 11, 2000.

Sylvia Gonzalez
 Typed Name of Person Making
 Deposit

Signature

9/9/00
 Date

RECEIVED
 OCT - 6 2000
 TECH CENTER 2700



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Walker et al.)
 For: SYSTEM AND METHODS) Customer No.: 22927
 WHEREIN A THIRD PARTY) Examiner: Not Yet Assigned
 SUBSIDY AND A MERCHANT)
 SUBSIDY FACILITATE A)
 TRANSACTION)
 Serial No.: 09/540,034) Group Art Unit: 2761
 Filing Date: March 31, 2000) Docket No.: 99-078

Assistant Commissioner for Patents
 Office of Initial Patent Examination
 Customer Service Center
 Washington, D.C. 20231

RECEIVED
 OCT - 6 2000
 TECH CENTER 2700

REQUEST FOR CORRECTED FILING RECEIPT

Applicants respectfully request that the Filing Receipt for the above-identified patent application be corrected as follows:

1. The inventive entities be corrected to reflect the addition of address for the first inventor as Jay S. Walker, **Ridgefield, CT**.
2. The inventive entities be corrected to reflect the addition of address for the second inventor as Daniel E. Tedesco, **Westport, CT**.
3. The inventive entities be corrected to reflect the addition of address for the third inventor as Stephen C. Tulley, **Stamford, CT**.
4. The inventive entities be corrected to reflect the addition of address for the fourth inventor as Wen Yan Lin, **New York, NY**.

The requested change has been indicated in red on the enclosed copy of the official Filing Receipt. Please issue a corrected Filing Receipt in due course.



The Assistant Commissioner is hereby authorized to charge the associated fee in the amount of \$25.00 to Deposit Account No. 50-0271. Order No. 99-078. The Commissioner is further authorized to charge any additional fees which may be required for the submission of this paper, or to credit any overpayment, to Deposit Account No. 50-0271. Order No. 99-078. A duplicate copy of this authorization is attached for such purpose.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Kurt M. Maschoff".

Kurt M. Maschoff
Attorney for Applicants
PTO Reg. No. 38,235
Walker Digital Corporation
Five High Ridge Park
Stamford, CT 06905
(203) 461-7020
(203) 595-8266 (fax)

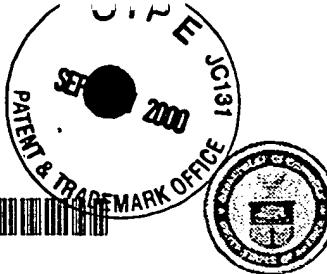
September 1, 2000

Date

FILING RECEIPT



OC00000005178402

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
--------------------	-------------	--------------	---------------	----------------	----------	------------	------------

09/540,034

03/31/2000

2761

0

99-078

11

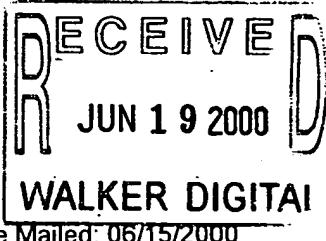
70

6

22927

WALKER DIGITAL
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905**COPY**

CC: KMM, SJG



Date Mailed: 06/15/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jay S. Walker, Residence Not Provided;
 Daniel E. Tedesco, Residence Not Provided;
 Stephen C. Tulley, Residence Not Provided;
 Wen Yan Lin, Residence Not Provided;

Ridgefield, CT
 Westport, CT
 Stamford, CT
 New York, NY

RECEIVED
OCT - 6 2000
USPTO
CUSTOME

Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 06/14/2000

Title

Systems and methods wherein a third party subsidy and a merchant subsidy facilitate a transaction

Preliminary Class

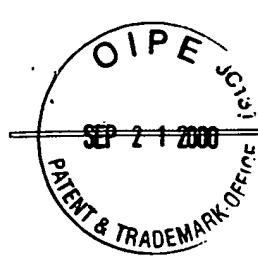
705

Data entry by : LANCASTER, DAVID

Team : OIPE

Date: 06/15/2000





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

RECEIVED
OCT - 6 2000
TECH CENTER 2000

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231